# A definition of self-adjoint operators derived from the Schrödinger operator with the white noise potential on the plane

https://www.math.h.kyoto-u.ac.jp/users/ueki/2DWN-Scr-c1.pdf https://www.math.h.kyoto-u.ac.jp/users/ueki/presen20231013RORT.pdf

#### Naomasa Ueki

Graduate School of Human and Environmental Studies, Kyoto University

15:10-16:00, October 13, 2023



#### Motivation

White noise:  $\xi = (\xi(x))_{x \in \mathbb{R}^2} \underset{\text{i.i.d.}}{\sim} \mathsf{N}(\mathsf{0},\,^*)$ : The most basic but wild random field  $\xi$ : Gaussian random field,  $\mathbb{E}[\xi(x)] = \mathsf{0}$ ,  $\mathbb{E}[\xi(x)\xi(y)] = \delta(x-y)$   $\mathbb{P}("x \mapsto \xi(x)" \in C_{loc}^{-1-\epsilon}) = 1$ 

Claim: Realize  $-\Delta + \xi$  as a self-adjoint operator to apply the spectral analysis



## Gaussian random field potential

For a Gaussian random field V with  $\mathbb{C}ov(V(x),V(y))=C(x-y)$ 

Fischer, Leschke, Müller, J. Statist. Phys.101(2000) Spectral localization by Gaussian random potentials in multi-dimensional continuous space.

Their results is sufficient for our understanding of the nature.

However, I will extend their theory to the whitenoise in order to a development of the stochastic analysis.

As another merits, some explicit calculations may be possible. Indeed, in 1D, the density of states is explicitly calculated (cf. Halperin 1965)



#### Other dimensions

$$\xi=(\xi(x))_{x\in\mathbb{R}^d}$$
: White noise on  $\mathbb{R}^d\Rightarrow$  " $x\mapsto \xi(x)$ "  $\in C^{-d/2-\epsilon}_{loc}$   $d=1\Rightarrow W^{1,2}([a,b])\ni f,g\mapsto \int_a^b (f'g'+\underset{C^{-1/2-\epsilon}_{loc}}{\xi}fg)dx$ : well-defined  $-\Delta+\xi$  is realized as a self-adjoint operator M. Fukushima and S. Nakao (1977) Spectral asymptotics (Asymptotics of the Integrated density of states) N. Minami (1988) (1989) Self-adj. on  $\mathbb{R}$ , Exp.Loc  $(\xi\to\partial(\text{L\'evy process}))$   $d\geq 4\Rightarrow \text{No results}$   $d=2$ , 3 are studied recently  $d=2$  is easier than  $d=3$   $\xi\in C^{-1-\epsilon}_{loc}$   $\xi\in C^{-3/2-\epsilon}_{loc}$ 



### Related works on singular SPDEs

- M. Hairer (2014) A theory of regularity structures,
- M. Gubinelli, P. Imkeller and N. Perkowski (2015) Paracontrolled calcuclus
- A. Kupiainen (2016) Renormalization Group
- $\Rightarrow$  Stochastic quatization equation for  $\phi_3^4$  Euclidean quantum field theory Generalized continuous parabolic Anderson model Kardar–Parisi–Zhang type equation Navier-Stokes equation with very singular forcing and so on
- Eg. Continuous parabolic Anderson model

$$\partial_t u(t,x) = \partial_x^2 u(t,x) - \lim_{\varepsilon \to 0} (\xi_\varepsilon(x) + c_\varepsilon) u(t,x) \text{ for } t > 0, x \in \mathbb{R}^2 / \mathbb{Z}^2$$



## Related works on Operators

For the Schrödinger operator

R. Allez and K. Chouk (2015) Paracontrolled calcuclus based on Fourier Analysis  $-\Delta + \lim_{\varepsilon \to 0} (\xi_{\varepsilon}(x) + c_{\varepsilon})$  on  $\mathbb{R}^2/\mathbb{Z}^2 \Leftarrow \text{Self-adjointness}$ , Discrete Spectrum,

Asymptotic Distribution

M. Gubinelli, B. Ugurcan and I. Zachhuber (2020) Extension to  $\mathbb{R}^3/\mathbb{Z}^3$   $i\partial_t u(t,x) = (\Delta - \lim_{\varepsilon \to 0} (\xi_\varepsilon(x) + c_\varepsilon) - k_\xi) u(t,x) - (u|u|^2)(t,x)$ 

$$\frac{(\Delta - \inf_{\varepsilon \to 0} (\varsigma_{\varepsilon}(x) + c_{\varepsilon}) - \kappa_{\xi}) u(\varepsilon, x)}{\geq 0}$$

$$\partial_t^2 u(t,x) = (\Delta - \lim_{\varepsilon \to 0} (\xi_\varepsilon(x) + c_\varepsilon) - k_\xi) u(t,x) - (u^3)(t,x)$$

C. Labbé (2019) similar results by regurarity structure for

$$-\Delta + \lim_{\varepsilon \to 0} (\xi_{\varepsilon}(x) + c_{\varepsilon})$$
 on  $(-L, L)^{2or3}$  with periodic or Dirichlet conditions

K. Chouk and W. van Zuijlen (2021)

Asymptotics of Eigenvalues of the Dirichlet operator on  $(-L,L)^2$  as  $L \to \infty$ 

T. Matsuda (2022) Asymptotics of Integrated density of states

## Topics on Random Schrödinger operators

#### Anderson transition:

Point spectrum with exponentially decaying eigenstates

for strongly random potentials and energies near the edge of the spectrum (Anderson localization)

Absolutely continuous spectrum

for weakly random potentials and energies far from the edge of the spectrum

This topic is discussed for stationary potentials on noncompact spaces.

## Singular SPDEs on noncompact spaces

M. Hairer and C. Labbé, (2015) M. Hairer and C. Labbé, (2018)  $\partial_t u_\varepsilon = \Delta u_\varepsilon - (\xi_\varepsilon + c_\varepsilon) u_\varepsilon, \, t > 0, \, x \in \mathbb{R}^d, \, u_\varepsilon(0,\cdot) = u_0$  Paraboloc Anderson model:  $\xi$  space white noise (depends only on x) d=2,3 Stochastic Heat equation:  $\xi$  space-time white noise (depends on (t,x)) d=1  $\exists \lim_{\varepsilon \to 0} u_\varepsilon$  uniformly on compact sets in probability depends continuously in  $u_0$ 

W. König, N. Perkowski and W. van Zuijlen, (2022) Feynman-Kac type representation of the solution of 2DPAM Asymptotics as  $t\to\infty$ 



## Related operators on noncompact spaces

B. Ugurcan, (2022) 
$$-\Delta + \lim_{\varepsilon \to 0} (\xi_{\varepsilon}(x) + \widetilde{c}_{\varepsilon}(x))$$
 on  $\mathbb{R}^2$  with  $\widetilde{c}_{\varepsilon}(x) \stackrel{|x| \to \infty}{\longrightarrow} 0$ 

$$= -\Delta + \lim_{\varepsilon \to 0} (\xi_{\varepsilon}^{\uparrow}(x) + \widetilde{c}_{\varepsilon}(x)) + \underbrace{\xi_{\varepsilon}^{\downarrow}(x)}_{\nwarrow},$$

An extension of the method for the compact case By a commutator estimate,

where 
$$\xi = \xi^{\uparrow}(x) + \xi^{\downarrow}(x)$$
  
Smooth functions in  $x$ 

$$= \sum_{n=-1}^{\infty} \chi_{[[2^n],2^{n+1}]}(|x|) \{ \chi_{[c2^n,\infty)}(-\Delta)\xi + \chi_{[0,c2^n]}(-\Delta)\xi \}$$
low energy pert
$$\widetilde{c_{\varepsilon}}(x) = \mathbb{E}[A \text{ resonant product of } \xi_{\varepsilon}^{\uparrow}(x) \text{ and } (1-\Delta)^{-1}\xi_{\varepsilon}^{\uparrow}(x)]$$

## Heat semigroup approach

```
Paracontrolled calculus by Heat semigroups (suitable for noncompact manifolds, graphs and so on)
Paraproducts defined by using Heat Semigroups
(cf. Preceding approach defines Paraproducts by using Fourier Analysis)
I. Bailleul and F. Bernicot (2016) \exists \lim_{\varepsilon \to 0} u_{\varepsilon}
generalized PAM on 2D manifold (without compactness)
```

- I. Bailleul, F. Bernicot and D. Frey (2018)  $\exists \lim_{\varepsilon \to 0} u_{\varepsilon}$ PAM and multiplicative Burgers eq. on 3D manifold (without compactness)
- A. Mouzard (2022) Self-adjointness, Discrete Spectrum, Its asymptotics of  $-\Delta + \lim_{\text{eg. Lap.Belt }\varepsilon \to 0} (\xi_\varepsilon(x) + c_\varepsilon(x)) \text{ on 2D Compact manifold, where } \xi_\varepsilon(x) = (e^{\varepsilon^2 \Delta} \xi)(x)$

$$c_{arepsilon}(x)\equiv c_{arepsilon}$$
 on  $\mathbb{R}^2/\mathbb{Z}^2$ 



## Our Topics

$$-\Delta + \lim_{arepsilon o 0} (\xi_arepsilon(x) + c_arepsilon)$$
 on  $\mathbb{R}^2$ , where  $\xi_arepsilon(x) = (e^{arepsilon^2 \Delta} \xi)(x)$ 

Self-adjointness

Spectral set  $= \mathbb{R}$ 

We should remark  $\|\xi\|_{C^{-1-\epsilon}(\{|x|< R\})} \lesssim (\log R)^2$ 

Tools: Paracontrolled calcuclus by Heat semigroup referring Mouzard (2020) and the partition of unity



# Products $fg = P_f g + \Pi(f,g) + P_g f + P_1^{(b)}((P_1^{(b)}f)(P_1^{(b)}g))$

$$0 << b \in 2\mathbb{Z} \text{ fixed } P_t^{(b)} = \sum_{j=0}^{b-1} \frac{(-t\Delta)^j}{j!} e^{t\Delta}$$

$$\frac{P_0^{(b)}((P_0^{(b)}f)(P_0^{(b)}g))}{P_0^{(b)}(P_0^{(b)}g)} - P_1^{(b)}((P_1^{(b)}f)(P_1^{(b)}g)) = -\int_0^1 dt \partial_t \{P_t^{(b)}((P_t^{(b)}f)(P_t^{(b)}g))\}$$

$$= P_f g + \Pi(f,g) + P_g f$$

$$\Pi(f,g):=\sum_{\mu}c_{\mu}\int_0^1rac{dt}{t}P_t^{\mu}((Q_t^{1,\mu}f)(Q_t^{2,\mu}g))$$
 : resonating term

$$P^{
u}, P^{\mu} \in StGC^{[0,b/2)}, \ Q^{1,
u}, Q^{2,
u}, Q^{1,\mu}, Q^{2,\mu}_t \in StGC^{[b/2,2b]}$$
 For any  $I \subset (0,\infty)$ ,  $StGC^I = \{((\sqrt{t}\nabla)^{\alpha}P_t^{(c)})_{t \in (0,1]} : \alpha \in \mathbb{Z}_+^2, \alpha_1 + \alpha_2 \in I \cap \mathbb{Z}, 1 \leq c \leq b\}$  standard families of Gaussian operators with cancellation of orders  $I$ 



## The Besov Spaces

For 
$$p,q\in[1,\infty], \alpha\in(-2b,2b)$$
,  $\mathcal{B}_{p,q}^{\alpha}(\mathbb{R}^2)=\overline{C_0^{\infty}(\mathbb{R}^2)}^{\|\cdot\|_{\mathcal{B}_{p,q}^{\alpha}(\mathbb{R}^2)}}$ : the Besov Space

$$\begin{split} \|f\|_{\mathcal{B}^{\alpha}_{p,q}(\mathbb{R}^2)} &:= \|e^{\Delta}f\|_{L^p(\mathbb{R}^2:d\mathsf{x})} \\ &+ \sup\{\|t^{-\alpha/2}\|Q_tf\|_{L^p(\mathbb{R}^2:d\mathsf{x})}\|_{L^q([0,1]:t^{-1}dt)} : Q \in \mathit{StGC}^{(|\alpha|,2b]}\} \end{split}$$

$$\mathcal{B}^{lpha}_{\infty,\infty}(\mathbb{R}^2)=:\mathcal{C}^{lpha}(\mathbb{R}^2)$$
: the Besov  $lpha$ -Hölder space

$$\mathcal{B}^{\alpha}_{2,2}(\mathbb{R}^2)=:\mathcal{H}^{\alpha}(\mathbb{R}^2)$$
: the Sobolev space with the index  $\alpha$ .  $=\mathcal{W}^{\alpha,2}(\mathbb{R}^2)$ 

$$\{\chi_{\mathbf{a}}\}_{\mathbf{a}\in\mathbb{Z}^2}\subset C^\infty(\mathbb{R}^2 o [0,1]) ext{ s.t. } \sum_{\mathbf{a}\in\mathbb{Z}^2}\chi_{\mathbf{a}}^2\equiv 1, ext{ supp }\chi_{\mathbf{a}}\subset \mathsf{\Lambda}_2(\mathbf{a}):=\mathbf{a}+(-1,1)^2$$
  $\chi_{\mathbf{a}}(\cdot)=\chi_0(\cdot-\mathbf{a})$ 



## The continuity of paraproducts

$$\begin{split} &\text{(i) For any } \alpha \in \mathbb{R} \text{ and } \epsilon \in (0,1), \\ &\|\chi_{a_1} P_{\chi_{a_2} g}(\chi_{a_3} f)\|_{\mathcal{H}^{\alpha-\epsilon}(\mathbb{R}^2)} \\ &\leq \begin{cases} &C_{\alpha,\epsilon} \|\chi_{a_3} f\|_{\mathcal{H}^{\alpha}(\mathbb{R}^2)} \|\chi_{a_2} g\|_{L^{\infty}(\mathbb{R}^2)} \exp(-C(|a_1-a_2|^2+|a_1-a_3|^2)) \\ &C_{\alpha,\epsilon} \|\chi_{a_3} f\|_{\mathcal{C}^{\alpha}(\mathbb{R}^2)} \|\chi_{a_2} g\|_{L^2(\mathbb{R}^2)} \exp(-C(|a_1-a_2|^2+|a_1-a_3|^2)) \end{cases} \\ &\text{(ii) For any } \alpha \in (-\infty,0) \text{ and } \beta \in \mathbb{R}, \\ &\|\chi_{a_1} P_{\chi_{a_2} f}(\chi_{a_3} g)\|_{\mathcal{H}^{\alpha+\beta}(\mathbb{R}^2)} \\ &\leq \begin{cases} &C_{\alpha,\beta} \|\chi_{a_2} f\|_{\mathcal{C}^{\alpha}(\mathbb{R}^2)} \|\chi_{a_3} g\|_{\mathcal{H}^{\beta}(\mathbb{R}^2)} \exp(-C(|a_1-a_2|^2+|a_1-a_3|^2)) \\ &C_{\alpha,\beta} \|\chi_{a_2} f\|_{\mathcal{H}^{\alpha}(\mathbb{R}^2)} \|\chi_{a_3} g\|_{\mathcal{C}^{\beta}(\mathbb{R}^2)} \exp(-C(|a_1-a_2|^2+|a_1-a_3|^2)) \end{cases} \\ &\text{(iii) For any } \alpha,\beta \in \mathbb{R} \text{ such that } \alpha+\beta>0, \\ &\|\chi_{a_1} \Pi(\chi_{a_2} f,\chi_{a_3} g)\|_{\mathcal{H}^{\alpha+\beta}(\mathbb{R}^2)} \\ &\leq &C_{\alpha,\beta} \|\chi_{a_2} f\|_{\mathcal{H}^{\alpha}(\mathbb{R}^2)} \|\chi_{a_3} g\|_{\mathcal{C}^{\beta}(\mathbb{R}^2)} \exp(-C(|a_1-a_2|^2+|a_1-a_3|^2)). \end{cases} \end{split}$$

## 1st ansatz for the definition of the operator

$$\begin{split} &\|\chi_a\xi\|_{C^{-1-\epsilon}(\mathbb{R}^2)} \leq C_{\epsilon,\xi}(\log(2+|a|))^2\\ &u, H^\xi u := -\Delta u + \xi u \in L^2(\mathbb{R}^2) \Rightarrow \Delta u \in \mathcal{H}^{-1-\epsilon}(\mathbb{R}^2) \Rightarrow u \in \mathcal{H}^{1-\epsilon}(\mathbb{R}^2)\\ &\xi u = \underbrace{P_u\xi}_{loc} + \underbrace{P_\xi u}_{loc} + \underbrace{\Pi(u,\xi)}_{ill} + \underbrace{P_1^{(b)}((P_1^{(b)}u)(P_1^{(b)}\xi))}_{\mathcal{H}^{\infty}_{loc}} \end{split}$$
 To erase this singularity, 
$$-\Delta u = -P_u\xi + e^{\Delta}P_u\xi - \Delta\phi_\xi(u)$$
 Ansatz I: 
$$u = \Delta^{-loc}P_u\xi + \phi_\xi(u)^{\nearrow} \text{ with } \phi_\xi(u) \in \mathcal{H}^{2(1-\epsilon)}(\mathbb{R}^2),$$
 and 
$$\|\chi_a u\|_{\mathcal{H}^{1-\epsilon}(\mathbb{R}^2)}, \ \|\chi_a\phi_\xi(u)\|_{\mathcal{H}^{2(1-\epsilon)}(\mathbb{R}^2)} \text{ decays sufficiently fast as } |a| \to \infty \end{split}$$
 where 
$$\Delta^{-loc} := -\int_0^1 dt \ e^{t\Delta} \text{ satisfying } \Delta^{-loc}\Delta = \Delta\Delta^{-loc} = I - e^{\Delta}$$
 
$$\|\chi_{a_1}\Delta^{-loc}\chi_{a_2}f\|_{\mathcal{C}^{\alpha}(\mathbb{R}^2)} \leq C_{\alpha,\epsilon}\|\chi_{a_2}f\|_{\mathcal{C}^{\alpha+\epsilon-2}(\mathbb{R}^2)} \exp(-C|a_1-a_2|^2)$$
 
$$\|\chi_{a_1}\Delta^{-loc}\chi_{a_2}f\|_{\mathcal{H}^{\alpha}(\mathbb{R}^2)} \leq C_{\alpha,\epsilon}\|\chi_{a_2}f\|_{\mathcal{H}^{\alpha+\epsilon-2}(\mathbb{R}^2)} \exp(-C|a_1-a_2|^2)$$

#### Commutators

$$H^{\xi}u = -\underline{\Delta\phi_{\xi}(u)}_{\in\mathcal{H}^{-2\epsilon}} + \underline{P_{\xi}(\Delta^{-loc}P_{u}\xi)}_{\in\mathcal{H}^{-3\epsilon}} + \underline{\Pi(\Delta^{-loc}P_{u}\xi,\xi)}_{\text{ill defned}} + (L^{2})$$

In the 2nd and 3rd terms, move the function  $\boldsymbol{u}$  to outer places by the commutators

$$C(f,g,h) := \Pi(\Delta^{-loc}P_fg,h) - f\Pi(\Delta^{-loc}g,h) \ S(f,g,h) := P_h(\Delta^{-loc}P_fg) - {}_fP_h(\Delta^{-loc}g) \ ext{where} \ {}_fP_hg := \sum_{
u} c_{
u} \int_0^1 rac{dt}{t} Q_t^{1,
u}((P_t^{
u}h)(Q_t^{2,
u}g)f)$$



## The continuity of commutators and so on

(i) For any 
$$\epsilon, \alpha \in (0,1), \beta \in \mathbb{R}, \gamma \in (-\infty,0)$$
 such that  $\beta + \gamma < 0$  and  $\alpha + \beta + \gamma > 0$ ,  $\|\chi_{a_1}C(\chi_{a_2}f,\chi_{a_3}g,\chi_{a_4}h)\|_{\mathcal{H}^{\alpha+\beta+\gamma-\epsilon}(\mathbb{R}^2)}\}$   $\|\chi_{a_1}S(\chi_{a_2}f,\chi_{a_3}g,\chi_{a_4}h)\|_{\mathcal{H}^{\alpha+\beta+\gamma-\epsilon}(\mathbb{R}^2)}\}$   $\leq C_{\epsilon,\alpha,\beta,\gamma}\|\chi_{a_2}f\|_{\mathcal{H}^{\alpha}(\mathbb{R}^2)}\|\chi_{a_3}g\|_{\mathcal{C}^{\beta-2}(\mathbb{R}^2)}\|\chi_{a_4}h\|_{\mathcal{C}^{\gamma}(\mathbb{R}^2)}$   $\times \exp(-C(|a_1-a_2|^2+|a_1-a_3|^2+|a_1-a_4|^2))$  (ii) For any  $\alpha \in (-\infty,0), \ \beta \in \mathbb{R}$  and  $\epsilon \in (0,1), \|\chi_{a_1\chi_{a_2}h}P_{\chi_{a_3}f}(\chi_{a_4}g)\|_{\mathcal{H}^{\alpha+\beta-\epsilon}(\mathbb{R}^2)}$   $\leq C_{\alpha,\beta,\epsilon}\|\chi_{a_3}f\|_{\mathcal{C}^{\alpha}(\mathbb{R}^2)}\|\chi_{a_4}g\|_{\mathcal{C}^{\beta}(\mathbb{R}^2)}\|\chi_{a_2}h\|_{L^2(\mathbb{R}^2)}$   $\times \exp(-C(|a_1-a_2|^2+|a_2-a_3|^2+|a_2-a_4|^2))$ 

#### Modification

Then 
$$H^{\xi}u = -\underline{\Delta\phi_{\xi}(u)} + \underline{{}_{u}P_{\xi}(\Delta^{-loc}\xi)} + u\underline{\Pi(\Delta^{-loc}\xi,\xi)} + (L^{2})$$
Replace the ill defined term by a  $\bigcap_{\epsilon>0}C_{loc}^{-\epsilon}(\mathbb{R}^{2})$ -valued random variable  $Y_{\xi}$  s.t.  $\lim_{\epsilon\to 0}\mathbb{E}[\|\chi_{a}(Y_{\xi_{\epsilon}}-Y_{\xi})\|_{C^{-\epsilon}(\mathbb{R}^{2})}^{p}] = 0$  for any  $a\in\mathbb{Z}^{2},\ p\in[1,\infty)$  and  $\epsilon>0$ , where  $Y_{\xi_{\epsilon}}:=\Pi(\Delta^{-loc}\xi_{\epsilon},\xi_{\epsilon})-\underline{\mathbb{E}}[\Pi(\Delta^{-loc}\xi_{\epsilon},\xi_{\epsilon})]$   $\xi_{\epsilon}:=e^{\epsilon^{2}\Delta\xi}$  is a smooth approximation of  $\xi$  diverge as  $\epsilon\to 0$   $\|\chi_{a}Y_{\xi}\|_{C^{-\epsilon}(\mathbb{R}^{2})}\leq C_{\epsilon,\xi}\log(2+|a|)$ 



Here the operator  $H^{\xi}$  is replaced by a new operator which we denote as  $H^{\xi}$ 

#### 2nd ansatz

$$\widetilde{H^{\xi}}u = -\Delta\phi_{\xi}(u) + \underbrace{{}_{u}P_{\xi}(\Delta^{-loc}\xi)}_{\in \mathcal{H}^{-4\epsilon}} + \underbrace{P_{u}Y_{\xi}}_{\in \mathcal{H}^{-2\epsilon}} + (L^{2})$$

To erase remaining singularities,

Ansatz II: 
$$\phi_{\xi}(u) = \Delta^{-loc}({}_{u}P_{\xi}(\Delta^{-loc}\xi) + P_{u}Y_{\xi}) + \Phi_{\xi}(u)$$

with 
$$\Phi_{\xi}(u) \in \mathcal{H}^2(\mathbb{R}^2)$$
 and  $\|\chi_a \Phi_{\xi}(u)\|_{\mathcal{H}^2(\mathbb{R}^2)}$  decays sufficiently fast as  $|a| \to \infty$ 

Then, since

$$-\Delta \phi_{\xi}(u) = -_{u}P_{\xi}(\Delta^{-loc}\xi) - P_{u}Y_{\xi} + \underbrace{e_{u}^{\Delta}P_{\xi}(\Delta^{-loc}\xi) + e^{\Delta}P_{u}Y_{\xi} - \Delta\Phi_{\xi}(u)}_{\in L^{2}},$$

$$\widetilde{H^{\xi}}u\in L^{2}$$



## Our operator

$$\begin{split} \widetilde{H^{\xi}}u := & -\Delta\Phi_{\xi}(u) + P_{\xi}\Phi_{\xi}(u) + \Pi(\Phi_{\xi}(u),\xi) + P_{1}^{(b)}((P_{1}^{(b)}u)(P_{1}^{(b)}\xi)) \\ & + e^{\Delta}P_{u}\xi + e^{\Delta}{}_{u}P_{\xi}(\Delta^{-loc}\xi) + e^{\Delta}P_{u}Y_{\xi} \\ & + C(u,\xi,\xi) + S(u,\xi,\xi) \\ & + P_{Y_{\xi}}u + \Pi(u,Y_{\xi}) + P_{1}^{(b)}((P_{1}^{(b)}u)(P_{1}^{(b)}Y_{\xi})) \\ & + P_{\xi}(\Delta^{-loc}{}_{u}P_{\xi}(\Delta^{-loc}\xi)) + \Pi(\Delta^{-loc}{}_{u}P_{\xi}(\Delta^{-loc}\xi),\xi) \\ & + P_{\xi}(\Delta^{-loc}P_{u}Y_{\xi}) + \Pi(\Delta^{-loc}P_{u}Y_{\xi},\xi), \end{split}$$
 with  $\Phi_{\xi}(u) := u - \Delta^{-loc}P_{u}\xi - \Delta^{-loc}{}_{u}P_{\xi}(\Delta^{-loc}\xi) - \Delta^{-loc}P_{u}Y_{\xi}$ 

#### Main Statements

$$\mathsf{Dom}_0(\widetilde{H^\xi}) := \Bigl\{ u \in \bigcap_{\epsilon > 0} \mathcal{H}^{1-\epsilon}(\mathbb{R}^2) : \limsup_{|a| \to \infty} \frac{1}{|a|} \log \|\chi_a u\|_{\mathcal{H}^{1-\epsilon}(\mathbb{R}^2)} < 0 \text{ for any } \epsilon > 0, \\ \Phi_\xi(u) \in \mathcal{H}^2(\mathbb{R}^2), \limsup_{|a| \to \infty} \frac{1}{|a|} \log \|\chi_a \Phi_\xi(u)\|_{\mathcal{H}^2(\mathbb{R}^2)} < 0 \Bigr\}$$

#### Theorem (Self-adjointness)

The operator  $\widetilde{H^{\xi}}$  with the domain  $\mathsf{Dom}_0(\widetilde{H^{\xi}})$  is essentially self-adjoint on  $L^2(\mathbb{R}^2)$ .

#### Theorem (Spectrum)

The spectral set of the closure  $\widetilde{H^{\xi}}$  is  $\mathbb{R}$ .



## Characteristic points of our operators

Smooth approximation 
$$H^{\xi_{\varepsilon}} = -\Delta + \xi_{\varepsilon} - \mathbb{E}[\Pi(\Delta^{-loc}\xi_{\varepsilon}, \xi_{\varepsilon})]$$
 is essentially self-adjoint on  $C_0^{\infty}(\mathbb{R}^2)$  since  $|\xi_{\varepsilon}(x)| \leq C_{\xi,\varepsilon}(\log(2+|x|))^2$  but  $\mathsf{Dom}_0(\widetilde{H^{\varepsilon}})$  does not include  $C_0^{\infty}(\mathbb{R}^2)$  since  $\Phi_{\xi}(C_0^{\infty}(\mathbb{R}^2)) \not\subset \mathcal{H}^2(\mathbb{R}^2)$  Our powerful tool is 
$$\Phi_{\xi}^{s}(u) := u - \sum_{a \in \mathbb{Z}^2} \Delta^{-loc} P_u^{s(a)}(\chi_a^2 \xi) - \sum_{a,a' \in \mathbb{Z}^2} \Delta^{-loc} P_{\chi_a^2 \xi}^{s_1(a,a')}(\Delta^{-loc}\chi_{a'}^2 \xi) - \sum_{a \in \mathbb{Z}^2} \Delta^{-loc} P_u^{s_2(a)}(\chi_a^2 Y_{\xi})$$
 
$$- \sum_{a \in \mathbb{Z}^2} \Delta^{-loc} P_u^{s_2(a)}(\chi_a^2 Y_{\xi})$$
 
$$P_f^{s}g := \sum_{v} c_v \int_0^s \frac{dt}{t} Q_t^{1,v}((P_t^v f)(Q_t^{2,v}g)h)$$
 
$$h P_f^{s}g := \sum_{v} c_v \int_0^s \frac{dt}{t} Q_t^{1,v}((P_t^v f)(Q_t^{2,v}g)h)$$

## The continuity of restricted paraproducts

(i) For any  $\beta < \gamma$ ,

$$\begin{split} &\|\chi_{\mathsf{a}_{1}} P^{\mathsf{s}}_{\chi_{\mathsf{a}_{2}} f}(\chi_{\mathsf{a}_{3}} \mathsf{g})\|_{\mathcal{H}^{\beta}(\mathbb{R}^{2})} \\ &\leq C_{\beta,\gamma} s^{(\gamma-\beta)/2} \|\chi_{\mathsf{a}_{2}} f\|_{L^{2}(\mathbb{R}^{2})} \|\chi_{\mathsf{a}_{3}} \mathsf{g}\|_{C^{\gamma}(\mathbb{R}^{2})} \\ &\times \exp(-C(|a_{1}-a_{2}|^{2}+|a_{1}-a_{3}|^{2})) \end{split}$$

(ii) For any  $\beta, \gamma_1, \gamma_2 \in \mathbb{R}$  satisfying  $\gamma_1 \leq 0$  and  $\beta < \gamma_1 + \gamma_2$ ,

$$\begin{split} &\|\chi_{a_{1}\chi_{a_{2}}h}P_{\chi_{a_{3}}f}^{s}(\chi_{a_{4}}g)\|_{\mathcal{H}^{\beta}(\mathbb{R}^{2})} \\ \leq &C_{\beta,\gamma_{1},\gamma_{2}}s^{(\gamma_{1}+\gamma_{2}-\beta)/2}\|\chi_{a_{3}}f\|_{C^{\gamma_{1}}(\mathbb{R}^{2})}\|\chi_{a_{4}}g\|_{C^{\gamma_{2}}(\mathbb{R}^{2})}\|\chi_{a_{2}}h\|_{L^{2}(\mathbb{R}^{2})} \\ &\times \exp(-C(|a_{1}-a_{2}|^{2}+|a_{2}-a_{3}|^{2}+|a_{2}-a_{4}|^{2})) \end{split}$$

#### Choice of s

For any  $\epsilon \in (0,1)$  and almost all  $\xi$ , there exist  $s(\epsilon,\xi), s_1(\epsilon,\xi), s_2(\epsilon,\xi) \in (0,1)$ and  $M, M(\epsilon), M_1(\epsilon), M_2(\epsilon) \in (0, \infty)$  s.t.  $\|\chi_{a}(I-\Phi_{\varepsilon}^{s(\epsilon,\xi,\delta)})(u)\|_{\mathcal{H}^{1-\epsilon}(\mathbb{R}^{2})} \leq \delta \sum_{s} \exp(-M|a-a'|^{2})\|\chi_{a'}u\|_{L^{2}(\mathbb{R}^{2})}$ for any  $\delta \geq 0$ , where  $s(\epsilon, \xi, \delta) = (s(a; \epsilon, \xi, \delta), s_1(a, a'; \epsilon, \xi, \delta), s_2(a; \epsilon, \xi, \delta))_{a \in \mathbb{Z}^2}$  is  $s(a; \epsilon, \xi, \delta) = s(\epsilon, \xi) \left(\frac{\delta}{(\log(2 + |a|))^2}\right)^{M(\epsilon)}$ ,  $s_1(a,a';\epsilon,\xi,\delta) = s_1(\epsilon,\xi) \left( \frac{\delta}{(\log(2+|a|))^2 (\log(2+|a'|))^2} \right)^{M_1(\epsilon)}$   $s_2(a;\epsilon,\xi,\delta) = s_2(\epsilon,\xi) \left( \frac{\delta}{\log(2+|a|)} \right)^{M_2(\epsilon)}.$ 



## Inverse of $\Phi_{\xi}^{s}$

$$\begin{split} &\|(I-\Phi_{\xi}^{\boldsymbol{s}(\epsilon,\xi,\delta)})(u)\|_{\mathcal{H}^{1-\epsilon}(\mathbb{R}^2)} \leq C_{\xi,\epsilon}\delta\|u\|_{\mathcal{H}^{1-\epsilon}(\mathbb{R}^2)} \\ &\text{Thus for } \delta \in (0,1/C_{\xi,\epsilon}) \text{, there exists the inverse } (\Phi_{\xi}^{\boldsymbol{s}(\epsilon,\xi,\delta)})^{-1} = \sum_{n=0}^{\infty} (I-\Phi_{\xi}^{\boldsymbol{s}(\epsilon,\xi,\delta)})^n \\ &\text{s.t. } \|(\Phi_{\xi}^{\boldsymbol{s}(\epsilon,\xi,\delta)})^{-1}(v)\|_{\mathcal{H}^{1-\epsilon}(\mathbb{R}^2)} \leq \|v\|_{\mathcal{H}^{1-\epsilon}(\mathbb{R}^2)}/(1-C_{\xi,\epsilon}\delta) \\ &(\Phi_{\xi}^{\boldsymbol{s}(\epsilon,\xi,\delta)})^{-1}(\{v\in\mathcal{H}^2(\mathbb{R}^2): \text{supp } v \text{ is compact}\}) \subset \mathsf{Dom}_0(\widetilde{H^{\xi}}) \\ &\text{since } \Phi_{\xi} - \Phi_{\xi}^{\boldsymbol{s}(\epsilon,\xi,\delta)} \text{ is controlled by the following.} \end{split}$$

## The continuity of the difference of paraproducts

$$\begin{split} &\|\chi_{a_{1}}(P_{\chi_{a_{2}}f}(\chi_{a_{3}}g) - P^{s}_{\chi_{a_{2}}f}(\chi_{a_{3}}g))\|_{\mathcal{H}^{\beta}(\mathbb{R}^{2})} \\ &\leq \left\{ \begin{array}{l} & \frac{C_{\beta,\gamma}}{s(\beta-\gamma)/2} \|\chi_{a_{2}}f\|_{L^{2}(\mathbb{R}^{2})} \|\chi_{a_{3}}g\|_{C^{\gamma}(\mathbb{R}^{2})} \exp(-C(|a_{1}-a_{2}|^{2}+|a_{1}-a_{3}|^{2})) \text{ if } \beta > \gamma, \\ & C_{\beta,\gamma} \Big(\log\frac{1}{s}\Big) \|\chi_{a_{2}}f\|_{L^{2}(\mathbb{R}^{2})} \|\chi_{a_{3}}g\|_{C^{\gamma}(\mathbb{R}^{2})} \exp(-C(|a_{1}-a_{2}|^{2}+|a_{1}-a_{3}|^{2})) \text{ if } \beta = \gamma, \\ & C_{\beta,\gamma} \|\chi_{a_{2}}f\|_{L^{2}(\mathbb{R}^{2})} \|\chi_{a_{3}}g\|_{C^{\gamma}(\mathbb{R}^{2})} \exp(-C(|a_{1}-a_{2}|^{2}+|a_{1}-a_{3}|^{2})) \text{ if } \beta < \gamma \end{array} \right. \end{split}$$

$$\begin{split} &\|\chi_{a_1}(\chi_{a_2}hP_{\chi_{a_3}}f(\chi_{a_4}g)-\chi_{a_2}hP_{\chi_{a_3}f}^s(\chi_{a_4}g))\|_{\mathcal{H}^{\beta}(\mathbb{R}^2)} \\ &\leq \left\{ \begin{array}{l} \frac{C_{\beta,\gamma_1,\gamma_2}}{s(\beta-\gamma_1-\gamma_2)/2}\|\chi_{a_3}f\|_{C^{\gamma_1}(\mathbb{R}^2)}\|\chi_{a_4}g\|_{C^{\gamma_2}(\mathbb{R}^2)}\|\chi_{a_2}h\|_{L^2(\mathbb{R}^2)} \\ &\quad \times exp(-C(|a_1-a_2|^2+|a_2-a_3|^2+|a_2-a_4|^2)) \text{ if } \beta>\gamma_1+\gamma_2,\gamma_1\leq 0, \\ C_{\beta,\gamma_1,\gamma_2}\Big(\log\frac{1}{s}\Big)\|\chi_{a_3}f\|_{C^{\gamma_1}(\mathbb{R}^2)}\|\chi_{a_4}g\|_{C^{\gamma_2}(\mathbb{R}^2)}\|\chi_{a_2}h\|_{L^2(\mathbb{R}^2)} \\ &\quad \times exp(-C(|a_1-a_2|^2+|a_2-a_3|^2+|a_2-a_4|^2)) \text{ if } \beta=\gamma_1+\gamma_2,\gamma_1\leq 0, \\ C_{\beta,\gamma_1,\gamma_2}\|\chi_{a_3}f\|_{C^{\gamma_1}(\mathbb{R}^2)}\|\chi_{a_4}g\|_{C^{\gamma_2}(\mathbb{R}^2)}\|\chi_{a_2}h\|_{L^2(\mathbb{R}^2)} \\ &\quad \times exp(-C(|a_1-a_2|^2+|a_2-a_3|^2+|a_2-a_4|^2)) \text{ if } \beta<\gamma_1+\gamma_2,\gamma_1\leq 0. \end{array} \right. \end{split}$$

# The operator with the restricted white noise $\xi_R = \sum_{a \in \mathbb{Z}^2 \cap \Lambda_R} \chi_a^2 \xi$

$$\begin{split} \widetilde{H_R^{\xi}}u &= -\Delta \Phi_{\xi,R}(u) + P_{\xi_R}\Phi_{\xi,R}(u) + \Pi(\Phi_{\xi,R}(u),\xi_R) + P_1^{(b)}((P_1^{(b)}u)(P_1^{(b)}\xi_R)) \\ &+ e^{\Delta}P_u\xi_R + e^{\Delta}{}_uP_{\xi_R}(\Delta^{-loc}\xi_R) + e^{\Delta}P_uY_{\xi,R} + C(u,\xi_R,\xi_R) \\ &+ S(u,\xi_R,\xi_R) + P_{Y_{\xi,R}}u + \Pi(u,Y_{\xi,R}) + P_1^{(b)}((P_1^{(b)}u)(P_1^{(b)}Y_{\xi,R})) \\ &+ P_{\xi_R}(\Delta^{-loc}{}_uP_{\xi_R}(\Delta^{-loc}\xi_R)) + \Pi(\Delta^{-loc}{}_uP_{\xi_R}(\Delta^{-loc}\xi_R),\xi_R) \\ &+ P_{\xi_R}(\Delta^{-loc}P_uY_{\xi,R}) + \Pi(\Delta^{-loc}P_uY_{\xi,R},\xi_R), \end{split}$$

$$\Phi_{\xi,R}(u) = u - \Delta^{-loc}P_u\xi_R - \Delta^{-loc}{}_uP_{\xi_R}(\Delta^{-loc}\xi_R) - \Delta^{-loc}P_uY_{\xi,R} \\ Y_{\xi,R} = \lim_{\varepsilon \to 0}(\Pi(\Delta^{-loc}\xi_{\varepsilon,R},\xi_{\varepsilon,R}) - \underbrace{\mathbb{E}[\Pi(\Delta^{-loc}\xi_{\varepsilon,R},\xi_{\varepsilon,R})]}_{\neq \mathbb{E}[\Pi(\Delta^{-loc}\xi_{\varepsilon,R},\xi_{\varepsilon,R})]}) \quad (\xi_{\varepsilon,R} = \sum_{a \in \mathbb{Z}^2 \cap \Lambda_R} \chi_a^2 e^{\varepsilon^2 \Delta}\xi) \\ \mathbb{D}om(\widetilde{H_R^{\xi}}) := \Big\{ u \in \bigcap \mathcal{H}^{1-\epsilon}(\mathbb{R}^2) : \Phi_{\xi,R}(u) \in \mathcal{H}^2(\mathbb{R}^2) \Big\} \end{split}$$

## Properties of the operator with the restricted whitenoise

$$\|\nabla \Phi_{\xi,R}(u)\|_{L^2(\mathbb{R}^2)}^2 \leq (u, (\widetilde{H_R^{\xi}} + k(\xi,R))u)_{L^2(\mathbb{R}^2)}$$

We can show that  $\mathsf{Ran}(H_R^\xi+k(\xi,R))=L^2(\mathbb{R}^2)$ 

Lemma (Self-adjointness of the operator with the restricted whitenoise)

The operator  $H_R^{\xi}$  with the domain  $Dom(H_R^{\xi})$  is self-adjoint on  $L^2(\mathbb{R}^2)$ .



## Proof of the self-adjointness

For 
$$\forall f \in \operatorname{Ran}(\widetilde{H^{\xi}}+i)^{\perp}$$
,  $\|f\|_{L^{2}(\mathbb{R}^{2})}^{2} = \lim_{R \to \infty} (f, \widetilde{\chi_{R}}f)_{L^{2}(\mathbb{R}^{2})}$ , where  $\widetilde{\chi_{R}} \in C_{0}^{\infty}(\Lambda_{R} \to [0,1])$  s.t.  $\widetilde{\chi_{R}} = 1$  on  $\Lambda_{R-1}$ .  $\varphi_{R,L} := (\widetilde{H_{R+L}^{\xi}}+i)^{-1}\widetilde{\chi_{R}}f \in \operatorname{Dom}(\widetilde{H_{R+L}^{\xi}})$  We can show that  $\widetilde{\varphi_{R,L}} := (\Phi_{\xi}^{\boldsymbol{s}(\epsilon,\xi,\delta)})^{-1}(\Phi_{\xi,R+L}^{\boldsymbol{s}(\epsilon,\xi,\delta)}(\varphi_{R,L})) \in \operatorname{Dom}_{0}(\widetilde{H^{\xi}})$  Since  $\widetilde{\chi_{R}}f = (\widetilde{H_{R+L}^{\xi}}+i)\varphi_{R,L}$  and  $(\widetilde{H^{\xi}}+i)\widetilde{\varphi_{R,L}} \in \operatorname{Ran}(\widetilde{H^{\xi}}+i)$ , we have  $\|f\|_{L^{2}(\mathbb{R}^{2})}^{2} = \lim_{R \to \infty} (f, \underbrace{(\widetilde{H_{R+L}^{\xi}}+i)\varphi_{R,L} - (\widetilde{H^{\xi}}+i)\widetilde{\varphi_{R,L}}}_{0})_{L^{2}(\mathbb{R}^{2})} = 0$   $\vdots$   $\overline{\operatorname{Ran}(\widetilde{H^{\xi}}+i)} = L^{2}(\mathbb{R}^{2})$ 

## Resolvent convergence

For 
$$\widetilde{H^{\xi}}$$
 on  $\mathbb{T}^2 = \mathbb{R}^2/\mathbb{Z}^2$ , 
$$\sup_{\|v\|_{L^2(\mathbb{T}^2)}} \| (\widetilde{H^{\xi_{\varepsilon}}} + z)^{-1}v - (\widetilde{H^{\xi}} + z)^{-1}v \|_{L^2(\mathbb{T}^2)} \stackrel{\varepsilon \to 0}{\longrightarrow} 0 \text{ for a large } z \in \mathbb{R}$$

$$(\text{Mouzard Prop.2.14})$$

$$\lambda_n(\widetilde{H^{\xi_{\varepsilon}}}) \stackrel{\varepsilon \to 0}{\longrightarrow} \lambda_n(\widetilde{H^{\xi}}) \text{ (Mouzard Cor.2.15)}$$

For 
$$H^{\xi}$$
 on  $\mathbb{R}^2$ ,  $\|(\widetilde{H^{\xi_{\varepsilon}}}+z)^{-1}v-(\widetilde{H^{\xi}}+z)^{-1}v\|_{L^2(\mathbb{R}^2)}\stackrel{\varepsilon\to 0}{\longrightarrow} 0$  for each  $v\in L^2(\mathbb{R}^2)$  and  $z\in\mathbb{C}\setminus\mathbb{R}$ . However the estimate with  $\sup_{\|v\|_{L^2(\mathbb{R}^2)}=1}$  may be difficult.

For the identification of the spectrum, we use the method used for stationary random operators.



## Fourier series representation

$$\begin{split} \xi &= \widetilde{\chi_L} \sum_{\substack{\boldsymbol{n} \in \mathbb{Z}^2 \cap \Lambda_{L^{10}} \\ \text{Partial Fourier sum} \\ \{X_{\boldsymbol{n}}(\xi^L)\} &\underset{\text{i.i.d.}}{\sim} \text{N}(0,1) \\ \{\varphi_D^L\} \text{ is ONS of } L^2(\Lambda_L) \\ \varphi_0^L &\equiv 1/L \end{split}$$

 $Y(\xi^L)$  be a  $\bigcap_{\epsilon>0} C^{-\epsilon}_{loc}(\mathbb{R}^2)$ -valued random variable obtained by replacing  $\xi$  by  $\widetilde{\xi}^L$  in the definition of  $Y_{\xi}$ 



# The event s.t. $\xi = \text{const.}$ and $Y(\xi^L) = 0$ on $\Lambda_{L/2}$

$$E(\varepsilon, r, L) = \left\{ \xi : |X_{\mathbf{0}}(\xi^{L}) - r|, |X_{\mathbf{n}}(\xi^{L})| \le \varepsilon/L^{20} \text{ for } \mathbf{n} \in \mathbb{Z}^{2} \cap \Lambda_{L^{10}} \setminus \{\mathbf{0}\}, \\ \|\chi_{a} \frac{\widetilde{\xi}^{L}}{Y} \|_{\mathcal{C}^{-1-\epsilon}(\mathbb{R}^{2})}, \\ \|\chi_{a} \frac{Y}{Y} (\widetilde{\xi}^{L}) \|_{\mathcal{C}^{-\epsilon}(\mathbb{R}^{2})} \right\} \le \left\{ \begin{aligned} 1/L & (a \in \mathbb{Z}^{2} \cap \Lambda_{L}) \\ |a| & (a \in \mathbb{Z}^{2} \setminus \Lambda_{L}) \end{aligned} \right\},$$

which satisfies 
$$\mathbb{P}(E(\varepsilon, r, L)) > 0$$
.  
 $\forall \lambda \in \mathbb{R} \ \exists r, c(\lambda, L) \in \mathbb{R} \ \forall \xi \in E(\varepsilon, r, L) \ \exists \varphi \in C_0^{\infty}(\Lambda_{L/2})$   
s.t.  $\|(\widetilde{H^{\xi}} - \lambda)\varphi\|_{L^2(\mathbb{R}^2)} < c\varepsilon$ ,  $\|\varphi\| = 1$ 



## Probability One

Let 
$$E(x_0, \varepsilon, r, L) := \{ \xi : \xi(\cdot - x_0) \in E(\varepsilon, r, L) \}$$
  
Then  $\bigcup_{x_0 \in \mathbb{Z}^2} E(x_0, \varepsilon, r, L)$  is  $\mathbb{Z}^2$ -invariant.

By the ergodicity of the white noise, we have

$$\mathbb{P}\Big(\bigcup_{\mathsf{x}_0\in\mathbb{Z}^2}\mathsf{E}(\mathsf{x}_0,\varepsilon,\mathsf{r},\mathsf{L})\Big)=1.$$

By the shift, we can take a Weyl sequence with probability 1.

Thus 
$$\lambda \in \operatorname{Spec}(\widetilde{H^{\xi}})$$
.

